



Varieties of contextuality based on probability and structural nonembeddability

Karl Svozil

Institute for Theoretical Physics, TU Wien, Wiedner Hauptstrasse 8-10/136, 1040 Vienna, Austria



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ABSTRACT

Different analytic notions of contextuality fall into two major groups: probabilistic and strong notions of contextuality. Kochen and Specker's Theorem 0 [1] presents a demarcation criterion for differentiating between those groups. Whereas probabilistic contextuality still allows classical models, albeit with nonclassical probabilities, the logico-algebraic “strong” form of contextuality characterizes collections of quantum observables that have no faithful embedding into (extended) Boolean algebras. Both forms indicate a classical in- or under-determination that can be termed “value indefinite” and formalized by partial functions of theoretical computer sciences.

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1. Types of quantum contextuality

The main point of this paper is that there are at least two main types of contextuality: the first notion is based upon nonclassical phenomenology, and in particular, on nonclassical probabilities contradicting Boole's conditions of physical experience [2]. The second type goes beyond this, and is based upon the absence of a classical logico-algebraic structure in terms of which the respective observables could be re-interpreted. Formally this amounts to nonembeddability into Boolean algebras by classical means; that is, in formal terms, by two-valued measures interpretable as classical truth assignments. The strongest form of this latter logico-algebraic contextuality is the total absence of any such classical truth assignment [1]. Thereby, it is important to keep in mind that the mere existence of any such classical truth assignment is necessary but not sufficient to ensure classical representability or embeddability: indeed, even if there is an apparent “abundance” of classical truth assignments, these might not provide sufficient means to classically embed a collection of quantum observables.

Early synthetic conceptions of contextuality emerged from insights into the entangled complexion [3] of physical properties retrieved from quantum measurements. As expressed by Bohr [4]: “the impossibility of any sharp separation between the behavior of atomic objects and the interaction with the measuring instruments which serve to define the conditions under which the phenomena appear.” This yields a “conditionality of phenomena” [5,6] relative to a “a complex of conditions under which the measurement is performed” [7,8].

In this line of thought, observable phenomena appear not as isolated properties of the object, but as signals from the object-measurement apparatus composite. (Entanglement may even extend to the observer [9,10].) Indeed, if entanglement is involved, these signals are about the relational properties of the combined entangled system. It makes no sense to refer to a well-defined property of the individual object alone [3,11]. Therefore, one should be careful interpreting a statement

E-mail address: svozil@tuwien.ac.at.

URL: <http://tph.tuwien.ac.at/~svozil>.

such as Bell's observation [12] that “the result of an observation may reasonably depend ... on the complete disposition of the apparatus”. In general there is no deterministic, one-to-one correspondence, association, or translation between relevant (counterfactual) well-defined individual properties of the constituents (one imagined [13] as “object”) of an entangled quantized system on the one hand, and the signal resulting from observation of this entangled state on the other hand. Entanglement evades such an association because the constituents of an entangled quantum state have no well-defined individuality.

Subsequent attempts to specify and quantify contextuality have presumed that individual objective properties nevertheless exist even for quantized systems, and that these properties do not depend on any kind of disposition of the measurement apparatus; in particular not on some compatible observables that are measured simultaneously. The latter assumption is usually referred to as “noncontextual”. The former assumption of the general existence of counterfactual properties or observables can be called *omni-existence*. *Omni-existence* is the “totality” assertion that, although due to complementarity not all observables can be measured simultaneously, they are nevertheless *value definite*; that is, some of them have a definite counterfactual value that can by no physical means be measured [14].

Historical attempts to prove contextuality have assumed both *omni-existence* and *noncontextuality* (thereby disregarding earlier synthetic concepts of contextuality by entanglement mentioned earlier), and have concentrated on the differences between classical and quantum predictions. Thus, given *omni-existence*, any empirically (falsifiable) discrepancies between classical and quantum predictions are interpreted to signify contextuality. However, one has to be careful and keep in mind that, just because *omni-existence* is often but not always [15] assumed for the sake of contradiction, a violation of *noncontextuality* does not imply or suggest the existence of any contextual hidden variable model. Contemporary interpretations of contextuality indicate the *non-existence* of a *noncontextual* model [16].

Most commonly, experimental violations of Boole-Bell-type inequalities are identified with quantum contextuality [17, 16]. Other empirical signatures of quantum contextuality are the experimental violations of ad hoc configurations whose classical interpretation (i) either merely assume the *omni-existence* of unrestricted classical *noncontextual* value assignments that do not depend on the complete disposition of the apparatus [17]; (ii) or, on preselected input, predict classical functional output that is violated in quantized systems [18].

Theoretical arguments against *omni-existent noncontextual* value assignments consider finite configurations of observables forming intertwining contexts that have no consistent classical value assignments [14]. Here the term “intertwined” is understood as introduced by Gleason [19]: in higher than two dimensions the orthonormal bases identified with contexts can “share” common elements—they need not be “isolated”, that is, disjoint. This is not the place for a historic review but the literature indicates that what is now known as the Kochen-Specker theorem [1, Theorem 1] has been discussed [20,21] as a direct consequence of Gleason's theorem [19].

The variety of contextual signifiers has resulted in a great semantic spread of notions of contextuality that threatens to obscure subtle differences concerning the quality of anomaly. Because the same collection of observables, taken from quasi-classical or quantum experimental configurations alike, may still allow very different types of probability distributions. The resulting differences in the prediction may be taken as signatures for contextuality. But this is incomparable to configurations of observables that do not, by any classical means, support such probability distributions, either because the existing classical value assignments that do not depend on the complete disposition of the apparatus are too scarce to resolve the logico-algebraic structure of observables at hand, or because this structure does not allow any such classical value assignment at all.

In what follows I, therefore, suggest refining the notion of contextuality by differentiating between two cases, depending on whether the collection of observables

- (i) violates some constraints on classical probabilities but still allows a faithful embedding into an extended Boolean subalgebra, or
- (ii) does not, by any classical means, allow any faithful embedding into some extended Boolean subalgebra.

For the sake of this analysis note that maximal collections of (finitely many) mutually co-measurable observables can be “wrapped up” into contexts (or blocks or maximal observables) which, from a probabilistic and structural point of view, intrinsically behave classically. The respective probability distributions are in accord with Kolmogorov's axioms. In particular, the probabilities of mutually exclusive events add up [19].

2. Nomenclature

For quantum mechanics we fix a positive integer $n \geq 2$. Let O be a nonempty set of one-dimensional projection observables on the Hilbert space \mathbb{C}^n [22]. A set $C \subset O$ is a *context* of O if C is an orthonormal basis of \mathbb{C}^n . An equivalent definition is in terms of the spectrum of a maximal operator containing one-dimensional projection operators onto the subspaces spanned by the vectors in C . In more general empirical logic terms, a context can be conceptualized by a set of mutually exclusive observables whose disjunction is a tautology.

Quantum mechanics, as well as partition logics [23] from a generalized urn [24] or finite automata models [25,26] featuring complementarity, allow two or more distinct contexts which, for more than two mutually exclusive outcomes per context, may intertwine in some observable(s) [27]. The remaining nonintertwining observables, taken from different

contexts, exhibit complementarity. (One convenient and compact graphical representation depicts contexts as smooth lines, and mutually exclusive elementary observables by points on these lines.)

As has been mentioned earlier, despite exhibiting complementarity, a collection of contexts may still allow some quasi-classical interpretation in terms of extreme cases. Such value assignments can be formalized by dispersionless *two-valued states* $v(x) \in \{0, 1\}$ (or, logically interpreted, “false” and “true”) that are binary functions of the respective observables $x \in X$ forming the contexts which are additive $v(x \vee y) = v(x) + v(y)$ for mutually exclusive observables $x \wedge y = \emptyset$, and add up to 1 for all mutually exclusive observables within any context. A weak and general formalization for quantized systems that allows partial functions and thus value indefiniteness is in terms of admissibility [15]: Let O be a set of one-dimensional projection observables and let $v : O \rightarrow \{0, 1\}$ be a value assignment function. Then v is *admissible* if the following two conditions hold for every context C formed by O :

- (i) exclusivity: if there exists an $x \in C$ with $v(x) = 1$, then $v(x') = 0$ for all $x' \in C \setminus \{x\}$;
- (ii) completeness: if there exists an $x \in C$ with $v(x') = 0$ for all $x' \in C \setminus \{x\}$, then $v(x) = 1$.

If the observables on which the aforementioned collections of contexts are based are quantum, then there is no guarantee that “sufficiently many” classical value assignments corresponding to dispersionless two-valued $\{0, 1\}$ -states exist. Indeed, there are finite configurations of observables with no such classical value assignment, or ones that cannot support “sufficiently many” classical value assignments to allow embeddings preserving the respective logico-algebraic structure.

Already Kochen and Specker discussed these issues and presented a demarcation criterion [1, Theorem 0] that utilizes the (in)separability of the underlying binary elementary propositions by classical value assignments: A set of observables X forming a collection of contexts is faithfully embeddable into an extended Boolean algebra if and only if these observables in X contained in the respective contexts support or allow a separating set of two-valued states $V = \{v_1, \dots, v_n\}$ such that, for any two observables $x, y \in X$, there exists some $v_i \in V$ for which $v_i(x) \neq v_i(y)$. (In its extreme form the collection of observables X support no two-valued measure, and $V = \emptyset$.)

If the observables in X support a separating set of two-valued states V then V facilitates three constructions:

- (i) It yields all classical probability distributions in the form of a convex combination $P(x) = \sum_{i=1}^n \lambda_i v_i(x)$, such that $\sum_{i=1}^n \lambda_i = 1$ and $\lambda_j > 0$ for all $j \in \{1, \dots, n\}$.
- (ii) The values of the value assignments formalized by dispersionless two-valued states on, say, k observables can be arranged in k -tuples. These tuples can be interpreted as extreme points or vertices of a compact convex subset of \mathbb{R}^k , a convex polytope, that has an equivalent representation in terms of its hull; that is, as a set of half-spaces which are (in)equalities. In the quantum physical realm, these inequalities are often referred to as Boole-Bell-type inequalities [28,29]. We shall later encounter such a hull computation in deriving the Suppes-Zanotti inequalities (2).
- (iii) A complete set of n two-valued states allows a representation as a partition logic that explicitly represents a classical embedding into an extended Boolean algebra 2^n [23].

Therefore, as disclosed earlier, it is suggested to adopt Kochen and Specker’s demarcation criterion of embeddability for a refined definition of contextuality: One could speak of *strong contextuality* if no classical representation of the respective observables, and also no classical probability distribution exists. Strong contextuality always indicates some “essential” scarcity of two-valued states associated with classical truth assignments; a deficiency to supply sufficient “structural information” for a classical embedding of the respective quantum observables. As will be discussed later such essential scarcity can be categorized and quantified by escalating levels of “rarity”, a “shortage” of elements of the set of two-valued states that may become nonseparating, nonunital, or in its strongest form empty.

The weaker *probabilistic contextuality*, while featuring complementarity (because more than one context is involved), allows all kinds of classically embeddable collections of observables, as well as classical probability distributions—if only the probability distribution in some way differs from global classical Kolmogorovian probabilities that are not restricted to local contexts. That is, the observables still allow classical probability distributions—as well as “hidden variables” in terms of the “larger” extended Boolean algebra in which the observables can be homomorphically embedded—but those probabilities are not realized by the probabilistic contextual systems at hand.

Why should one make such a distinction from a physical point of view? Because if there do not exist separating sets of two-valued states and thus no faithful classical embeddability then the respective structures do not any longer support classical probability distributions; and also no “hidden variables” in terms of the “larger” extended Boolean algebra in which the observables can be homomorphically embedded. This is quite different from any nonclassical probability distribution on an otherwise “quasi-classical” empirical logics [30] containing complementary observables that can still be imbedded into “larger” Boolean algebras.

For physical realizations we refer to Wright’s generalized urn models [24] or the initial state identification problem for finite automata [25,26], amounting to partition logics [23]. The resulting empirical logics [30] are identical to a variety of quantum propositional structures [31] These logics support both classical as well as quantum probabilities. Which probability distribution needs to be chosen depends solely on the respective physical realization [27]. In particular, there is no structural logical distinction; therefore, classical “hidden variables” cannot be ruled out, the difference is in the classical-versus-quantum performance: the respective quantum contextuality is probabilistic.

In what follows several quantum mechanical examples of probabilistic contextuality in n -dimensional Hilbert space will be enumerated. To avoid unnecessary redundancies they are mentioned with a reference to the concrete computation. Often two-valued states will be rewritten in terms of the expectation values of dichotomic outcomes $E \in \{-1, 1\}$ by affine transformations—a multiplication followed by a subtraction—from classical value assignments encoded by two-valued states $v \in \{0, 1\}$: $E = 2v - 1$, or conversely, $v = \frac{1}{2}(E + 1)$. In quantum mechanics and Hilbert spaces of dimension greater than one, E generalizes to a unitary Householder transformation $\mathbf{E}_{\mathbf{x}} = \mathbb{1} - 2\mathbf{x}\mathbf{x}^\dagger$, where \mathbf{x} is a unit vector and \dagger represents the Hermitian adjoint (aka conjugate). The resulting eigensystem of $\mathbf{E}_{\mathbf{x}}$ has eigenvalues ± 1 :

−1: \mathbf{x} is an eigenvector of $\mathbf{E}_{\mathbf{x}}$ with eigenvalue -1 .

+1: The remaining $n - 1$ mutually orthogonal eigenvectors span the $n - 1$ dimensional subspace orthogonal to \mathbf{x} . Every vector in that subspace has eigenvalue $+1$. (For $n > 2$ the spectrum is degenerate.)

For any context represented by some orthonormal basis $\{\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$, the product of the respective unitary Householder transformations is minus the identity; that is, $\mathbf{E}_{\mathbf{e}_1}\mathbf{E}_{\mathbf{e}_2}\cdots\mathbf{E}_{\mathbf{e}_n} = -\mathbb{1}$.

All such approaches take “a bag of” observables from quantum mechanics—some of them complementary—and force a classical interpretation upon them. This is done in terms of classical value assignments formalized by two-valued states or expectations of binary observables. Note that there exist classical empirical models featuring complementarity, such as the ones mentioned earlier: Moore automata [25,26], or generalized urn models [24], both yielding partition logics [23,27].

3. Examples of probabilistic contextuality

In what follows we shall concentrate on two subtypes of probabilistic contextuality; one based on Boole’s “conditions of physical experience” [2], and another one on the functional behavior derived from terminal vertices of gadget graphs.

3.1. Boole-Bell type signatures of contextuality by hull computations

As mentioned earlier the hull computation of the convex polytope formed by vertices that represent the encoded classical value assignments of a given selection of observables yields inequalities that are identified with optimal Boole-Bell type inequalities [28,29]. Violations of these inequalities by quantum probabilities are interpreted as signifying contextuality relative to the assumptions discussed earlier, in particular, omni-existence and noncontextuality.

For the sake of concrete examples of historic configurations used for Boole-Bell type inequalities consider configurations with

- (i) isolated contexts with no common observable, such as
 - (i.SZ) Suppes-Zanotti inequalities from three observables [32], as discussed later;
 - (i.CSH) Clauser-Horne-Shimony-Holt (CHSH) inequalities from four observables in two groups, and their respective tensor products forming four isolated contexts [32];
 - (i.TPB) two-party Bell inequalities from finitely many observables, and their respective tensor products forming isolated contexts [33];
- (ii) intertwining contexts from three-or higher dimensional Hilbert spaces with common observables, such as
 - (ii.SB) for the Specker bug configuration [34] that serves as graph theoretic true-implies-false gadget [35];
 - (ii.KCBS) inequalities from five cyclically connected contexts [36]. (The Bub-Stairs inequality [37] on the same configuration is ad hoc and does not follow from a hull computation but from a classical probability assessment.)

Suppes and Zanotti’s result [32,38] as well as other bounds on classical probabilities for different configurations of observables still allow *single* instances of $\{-1, 1\}$ -value assignments, as the respective set of two-valued states is not empty (as for Kochen-Specker configurations). But the classical probabilistic analysis of such configurations reveals that the bounds on classical probabilities are violated by the quantum probabilities of analogous quantized systems. This has been, for instance, pointed out by tabulations of classical $\{-1, 1\}$ -value assignments for the CHSH configuration [39] by Asher Peres [40]. Further quantitative investigations into the “amount”, or a measure, of probabilistic contextuality in terms of enumerations and tabulations of classical value assignments have, for instance, been studied in References [41–46].

Let us, for the sake of an explicit example, enumerate the classical value assignments in the Suppes-Zanotti configuration which consists of measurements of an Einstein-Podolski-Rosen [47] type configuration of two observables on one “side”, and one observable on the other “side” (CHSH uses a symmetric 2-2 configuration). It therefore involves $2 + 1 = 3$ binary observables $X, Y, Z \in \{-1, +1\}$ associated with $\{-1, 1\}$ -value assignments that can be used to form the second-order distributions from the three second-order expectations $\mathbf{E}(X, Y) = XY$, $\mathbf{E}(X, Z) = XZ$, $\mathbf{E}(Y, Z) = YZ$, obtained by just multiplying the binary observables from their three possible pairs, respectively. Classically this amounts to $2^3 = 8$ value assignments tabulated in Table 1. These eight classical value assignments can be used to generate all classical higher-order distributions [48] by convex summation of these value assignments; in particular, the probabilities

Table 1
The eight $\{-1, 1\}$ -value assignments of the Suppes-Zanotti configuration.

#	X	Y	Z	XY	XZ	YZ
v_1	+1	+1	+1	+1	+1	+1
v_2	+1	+1	-1	+1	-1	-1
v_3	+1	-1	+1	-1	+1	-1
v_4	-1	+1	+1	-1	-1	+1
v_5	+1	-1	-1	-1	-1	+1
v_6	-1	+1	-1	-1	+1	-1
v_7	-1	-1	+1	+1	-1	-1
v_8	-1	-1	-1	+1	+1	+1

$$p(x) = \lambda_1 v_1(x) + \dots + \lambda_n v_n(x), \tag{1}$$

with $\lambda_1 + \dots + \lambda_n = 1$ and $\lambda_j \geq 0, j \in \{1, \dots, n\}$.

The second-order distributions can be geometrically characterized by a convex polytope [28,29] formed by the “classical vertices” whose coordinates are arranged in three-tuples $(XY, XZ, YZ)^T$ (\top indicates transposition) with respect to the Cartesian standard basis of \mathbb{R}^3 are identified with the respective last three row entries of Table 1: in this case the four vertex vectors (the other four vectors are duplicates) are $(1, 1, 1)^T, (1, -1, -1)^T, (-1, 1, -1)^T,$ and $(-1, -1, 1)^T$. The resulting convex polytope has an equivalent representation in terms of its hull, formed by its half-spaces [49–51]. In the case of the Suppes-Zanotti configuration [32,52,53] the hull equations are the Suppes-Zanotti inequalities; in particular, the four half-spaces described by the inequalities [38]

$$-1 \leq \pm \mathbf{E}(X, Y) \pm \mathbf{E}(X, Z) \pm \mathbf{E}(Y, Z) \leq 1. \tag{2}$$

Quantization of the Suppes-Zanotti configuration with the associated operators [54] $\mathbf{F}(X, Y) \pm \mathbf{F}(X, Z) \pm \mathbf{F}(Y, Z)$ with the quantum expectation \mathbf{F} yields the much larger quantum bounds

$$-3 < \mathbf{F}(X, Y) \pm \mathbf{F}(X, Z) \pm \mathbf{F}(Y, Z) < 3 \tag{3}$$

that allows a violation of the classical bounds (2), which is a signature of probabilistic contextuality.

3.2. Functional signatures of contextuality

There exist configurations of observables that, interpreted classically, serve all kinds of (logical) functions. (Graph theoretically they are gadgets.) Usually, they have input and output terminals which, functionally interpreted, serve as arguments and functional values. Two historic configurations realize either true-implies-false [34,18] or true-implies-true functional relations [1,55]: if a particular state is preselected on the input terminal then classical value assignments (implementing omni-existence and noncontextuality) enforce a particular dependent value assignment—either false or true, respectively—on the output terminal.

Violations of these dependencies by quantum probabilities are interpreted as signifying contextuality relative to the assumptions discussed earlier. In particular, any classical “hidden variable” model cannot implement both omni-existence and have noncontextual admissible value assignments [40].

For the sake of examples we refer to (extensions of) the Specker bug [34,18], or the examples in Refs. [56,57] which use finite sets of quantum observables in three-dimensional Hilbert space, as well as Hardy type configurations [1,55] which use finite sets of quantum observables in four- or higher-dimensional [18] Hilbert space. All of these gadgets have a classical interpretation in terms of partition logic, finite automaton models or generalized urn models. Their respective logico-algebraic structure can be faithfully embedded into extended Boolean algebras; for instance, 2^n , by identification with the union of elements of a partition obtained from analyzing a complete set of n two-valued states [23].

For the sake of an example we shall review a configuration of 13 observables in seven bi-intertwining contexts (maximal Boolean subalgebras 2^3) introduced by Kochen and Specker [34]. It hypergraph representing contexts as smooth curves (lines) is depicted in Fig. 1.

This Specker bug (Specker’s “Käfer” configuration) can be employed as a classical (noncontextual) true-implies-false (TIF) gadget, as the two “terminal points” a_1 and a_7 cannot both be “true” (value 1) at the same time: suppose a_1 and a_7 are both 1 simultaneously. Then admissibility demands that a_3, a_5, a_9 as well as a_{11} must all be 0 simultaneously. Consequently a_4 and a_{10} must both be 1 simultaneously—a complete contradiction since admissibility of two-valued $\{0, 1\}$ -states requires exactly one element of a context to be 1, all other elements must be 0. Nevertheless one can be true (have value 1) and the other one false (value 0). Also they can both be false (value 0): their respective probabilities, which can be obtained by the convex sum of its 14 two-valued states enumerated in Table 2 and depicted in Fig. 1(b), are $p(a_1) = \lambda_1 + \lambda_2 + \lambda_3$ and $p(a_7) = \lambda_6 + \lambda_{13} + \lambda_{14}$ are mutually exclusive.

Quantization in terms of faithful orthogonal vertex labeling—a vertex representation by vectors, preserving orthogonality of adjacent vertices [58]—as for instance, depicted in Fig. 1(c), allows the simultaneous preparation by the pre-selection

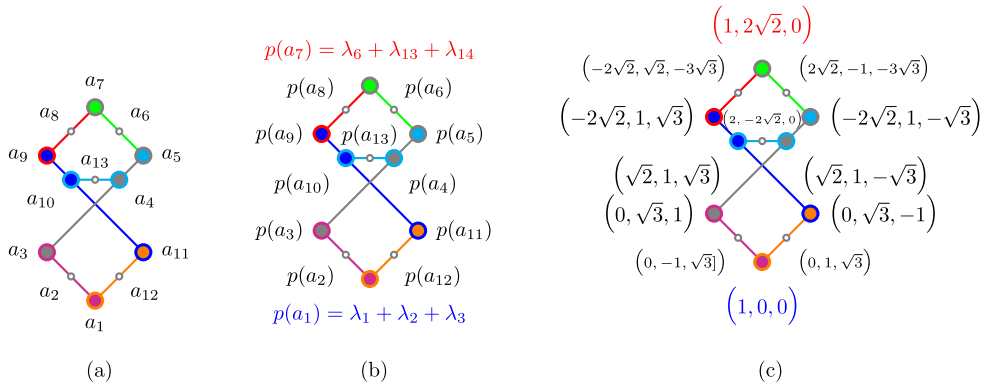


Fig. 1. (a) Configuration of 13 observables in seven bi-intertwining contexts serving as a symmetric (with respect to horizontal) true-implies-false gadget; (b) the associated classical probability distributions obtained by the convex sum $\lambda_1 + \dots + \lambda_{14} = 1$, $\lambda_i \geq 0$, $1 \leq i \leq 14$; (c) a quantum representation in terms of a faithful orthogonal vertex labeling in terms of a vertex representation by vectors, preserving orthogonality of adjacent vertices [58] of the hypergraph that maximizes the probability of a_7 , given a_1 . (For interpretation of the colors in the figure(s), the reader is referred to the web version of this article.)

Table 2

The 14 $\{0, 1\}$ -value assignments of the Specker bug configuration. Boxed values indicate nonvanishing contributions of the respective two-valued state to the probabilities of the terminal points a_1 and a_7 of the gadget.

#	a_1	a_2	a_3	a_4	a_5	a_6	a_7	a_8	a_9	a_{10}	a_{11}	a_{12}	a_{13}
v_1	1	0	0	1	0	1	0	0	1	0	0	0	0
v_2	1	0	0	0	1	0	0	1	0	1	0	0	0
v_3	1	0	0	0	1	0	0	0	1	0	0	0	1
v_4	0	1	0	1	0	1	0	1	0	0	1	0	0
v_5	0	1	0	1	0	1	0	0	1	0	0	1	0
v_6	0	1	0	1	0	0	1	0	0	0	1	0	0
v_7	0	1	0	0	1	0	0	1	0	1	0	1	0
v_8	0	1	0	0	1	0	0	1	0	0	1	0	1
v_9	0	1	0	0	1	0	0	0	1	0	0	1	1
v_{10}	0	0	1	0	0	1	0	1	0	1	0	1	0
v_{11}	0	0	1	0	0	1	0	1	0	0	1	0	1
v_{12}	0	0	1	0	0	1	0	0	1	0	0	1	1
v_{13}	0	0	1	0	0	0	1	0	0	1	0	1	0
v_{14}	0	0	1	0	0	0	1	0	0	0	1	0	1

state a_1 and the detection of the post-selected state a_7 with nonvanishing probabilities $|a_7 \cdot a_1|^2 \leq \frac{1}{9}$, thereby violating the classical predictions of zero chance that a_7 occurs if a_1 occurred. In this concrete realization with $a_1 \equiv (1, 0, 0)^T$ and $a_7 \equiv \frac{1}{3}(1, 2\sqrt{2}, 0)^T$ the violation with the classical prediction is maximal [59–61].

Also in this case the set of two-valued states allowing a classical co-representation even of complementary observables. And yet, probabilistic contextuality manifests itself in the functional performance of the respective gadgets at its terminal points.

3.3. Ad hoc signatures of contextuality

We just mention without further discussion that there exist other ad hoc methods, in particular, so-called “state independent quantum contextuality” [17], to obtain probabilistic contextuality. Often the observables of some Kochen-Specker configuration without any two-valued state are taken. By relaxing the axioms of admissibility mentioned earlier, “classical” (relative to these relaxed assumptions) estimates and predictions are obtained which are violated by experimentally testable quantum predictions [62].

4. Examples of strong contextuality

In what follows we shall deal with (finite) configurations of observable whose classical interpretations in terms of its two-valued states are insufficient for an embedding into any Boolean algebra. Even though there still may exist “many” two-valued states associated with classical value assignments, these assignments may not be able to resolve the structure of quantum observables supporting them. There exist escalations of the “smallness” of the set of two-valued states in terms of inseparability, nonunitarity, or nonexistence that will be briefly reviewed next. All these instances go beyond classical embeddability (and preservation of the logico-algebraic structure of the associated observables) as they do not satisfy Kochen and Specker’s demarcation criterion [1, Theorem 0] for separability.

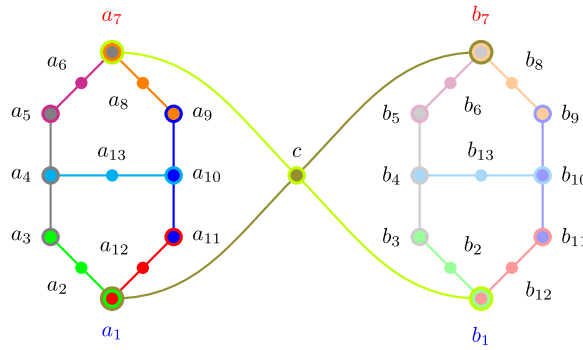


Fig. 2. The Kochen-Specker “combo of Specker bugs” whose set of classical truth assignments formalized by its two-valued states cannot separate a_1 from b_1 , as well as a_7 from b_7 .

4.1. Nonseparability of classical value assignments

As mentioned there exist finite sets of observables that do not allow separation by classical value assignments. That is, in such circumstances, no classical value assignment exists that is capable to differentiate between, or separating, the individual constituents of some pair of distinct quantum observables.

For the sake of an example of contextuality based on inseparability, take Kochen and Specker’s combo [1, Graph Γ_3] of intertwining true-implies-true gadgets [1, Graph Γ_1] that contains two pairs of observables that cannot be classically separated. Its hypergraph is depicted in Fig. 2. If a_1 is true (has value 1) then b_1 has to be true (has value 1), and vice versa. Likewise, if a_7 is true (has value 1) then b_7 has to be true (has value 1), and vice versa. Therefore, a_1 cannot be classically separated from b_1 , and a_7 cannot be classically separated from b_7 . For a proof, note that, if a_1 is assumed to be true, then the true-implies-false Specker bug gadget and admissibility demands a_7 as well as c to be false, and thus b_1 to be true. Likewise, whenever b_1 is true, b_7 as well as c needs to be false, and thus a_1 to be true. Therefore, a_1 cannot be separated from b_1 by any classical means. A symmetric argument (utilizing the symmetry of the true-implies-false Specker bug gadget) yields nonseparability of a_7 from b_7 . The Kochen-Specker combo has no faithful embedding into any “larger” Boolean algebra, because any such faithful embedding would allow a classical resolution of the constituents of the pairs a_1 and b_1 , as well as a_7 and b_7 .

A respective four-dimensional example inspired by Hardy’s nonlocal configuration can be found in Fig. 5 of Reference [55]. Other explicit experimentally testable cases of inseparability can be found in a configuration depicted in Fig. 2 of Ref. [63], as well as in Fig. 24.2c analyzed in Table 24.1 of Ref. [64], based on a configuration introduced in Fig. 2 of Ref. [15].

4.2. Nonunitarity of classical value assignments

Another, even stronger (because it includes and extends inseparability) form of logical contextuality are collections of observables with a unital set of two-valued states: if interpreted classically such structures enforce the nonoccurrence (and occurrence) of certain observables.

Two explicit experimentally testable cases of inseparability are the same as mentioned earlier in a configuration depicted in Fig. 2 of Ref. [63], as well as in Fig. 24.2c analyzed in Table 24.1 of Ref. [64], based on a configuration introduced in Fig. 2 of Ref. [15].

For the sake of demonstration we review this latter configuration depicted in Fig. 3. Analysis of its set of eight two valued states enumerated in Table 3 reveals that eight observables, namely $a_2, a_{13}, a_{15}, a_{16}, a_{17}, a_{25}, a_{27}, a_{36}$ are always 0 (aka false, or nonoccurring) value because they are connected to a_1 which has to be 1 (aka true, or always occurring). As a corollary, those eight observables with simultaneous values 0 cannot be separated from one another with classical means; that is, by two-valued states.

This configuration can, of course, be always subjected to a global rotation, such that its faithful orthogonal representation, as enumerated in Table I of Ref. [15], matches a_1 , or, alternatively, a_2 . Thereby, given any pure state, we can as a corollary construct a complete contradiction. Because given any pure state \mathbf{a} that can be represented as a unit vector of \mathbb{R}^3 , we are free to choose two faithful orthogonal vertex labelings of the hypergraph depicted in Fig. 3: one that matches \mathbf{a} with a_1 , and another one that matches \mathbf{a} with a_2 . Hence \mathbf{a} would need to be 1 and 0, aka true and false; a complete contradiction. One could call this argument “state independent” because it applies to any pure state \mathbf{a} .

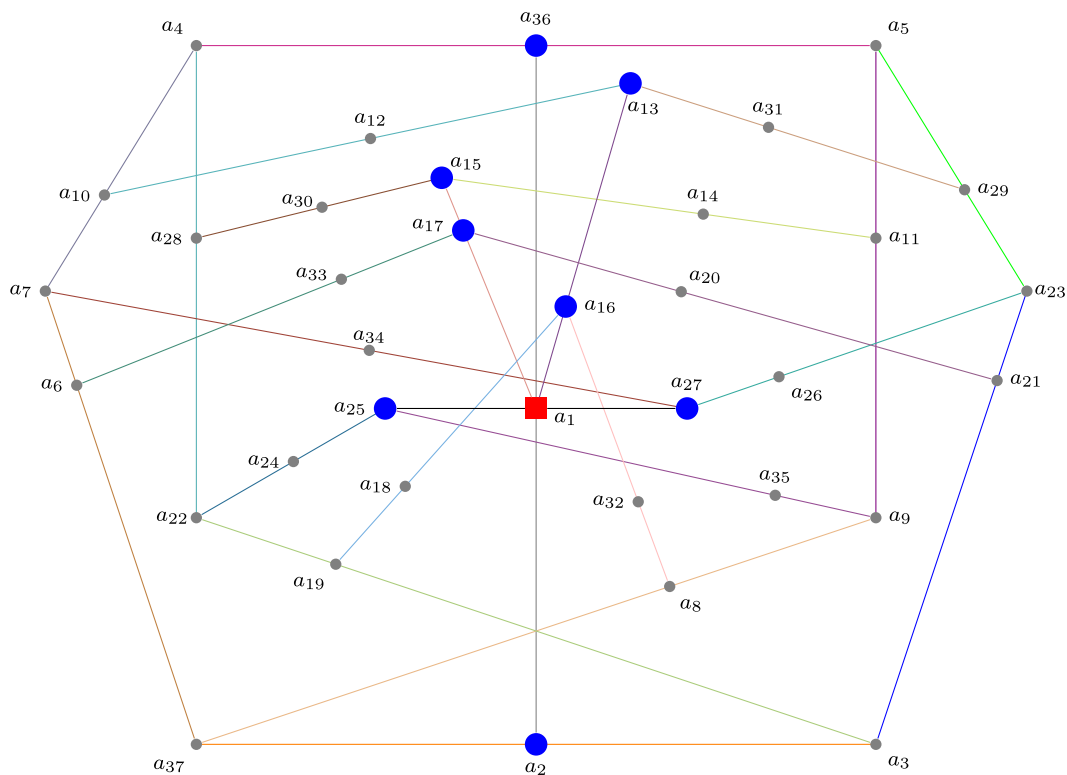


Fig. 3. A configuration of quantum observables with a nonunit set of classical two-valued states in three-dimensional Hilbert space [64]. Admissibility demands that proposition a_1 must be true (value 1); and the adjacent propositions $a_2, a_{13}, a_{15}, a_{16}, a_{17}, a_{25}, a_{27}, a_{36}$ sharing hyperedges with a_1 must be false (value 0). All other observables are either 0 or 1, depending on the respective two-valued state enumerated in Table 3.

Table 3

The eight two-valued states on the configuration depicted in Fig. 3. Boxes indicate fixed values.

#	a_1	a_2	a_3	a_4	a_5	a_6	a_7	a_8	a_9	a_{10}	a_{11}	a_{12}	a_{13}	a_{14}	a_{15}	a_{16}	a_{17}	a_{18}	a_{19}
1	1	0	0	1	0	0	0	0	0	0	1	1	0	0	0	0	0	0	1
2	1	0	0	1	0	0	0	0	0	0	1	1	0	0	0	0	0	0	1
3	1	0	0	0	1	0	0	0	0	1	0	0	0	1	0	0	0	0	1
4	1	0	0	0	1	0	0	0	0	1	0	0	0	1	0	0	0	1	0
5	1	0	1	1	0	1	0	1	0	0	1	1	0	0	0	0	0	1	0
6	1	0	1	1	0	1	0	0	1	0	0	1	0	1	0	0	0	1	0
7	1	0	1	0	1	1	0	1	0	1	0	0	0	1	0	0	0	1	0
8	1	0	1	0	1	0	1	1	0	0	0	1	0	1	0	0	0	1	0
#	a_{20}	a_{21}	a_{22}	a_{23}	a_{24}	a_{25}	a_{26}	a_{27}	a_{28}	a_{29}	a_{30}	a_{31}	a_{32}	a_{33}	a_{34}	a_{35}	a_{36}	a_{37}	
1	0	1	0	0	1	0	1	0	0	1	1	0	1	1	1	1	0	1	
2	1	0	0	1	1	0	0	0	0	1	1	1	1	1	1	1	0	1	
3	0	1	0	0	1	0	1	0	1	0	0	1	1	1	1	1	0	1	
4	0	1	1	0	0	0	1	0	0	1	1	1	1	1	1	1	0	1	
5	1	0	0	0	1	0	1	0	0	1	1	0	0	0	1	1	0	0	
6	1	0	0	0	1	0	1	0	1	1	0	1	0	1	0	0	0	0	
7	1	0	0	0	1	0	1	0	1	0	0	1	0	0	1	1	0	0	
8	1	0	0	0	1	0	1	0	1	0	0	1	0	1	0	1	0	0	

4.3. Nonexistence of classical value assignments

The most extreme form of strong contextuality occurs if the respective structure of observables allows no classical interpretation whatsoever. This result had already been announced by Specker in 1960 [14], and is nowadays called the Kochen-Specker theorem [1, Graph Γ_2]. It has been perceived [20,21] as a direct consequence of Gleason's theorem [19].

One may, in a certain sense and relative to the mathematical means employed, extend these results by proving that there exist finite configurations of observables that do not allow any classical value definite existence beyond a single classical value assignment, and the (continuity of) contexts containing this extreme case. Proofs relative to global and total

classical value assignments are in Refs. [65,66]. Similar results are obtained with weaker assumptions allowing partial value assignments in Refs. [67,68,15]

5. Further observations

5.1. *Omni-existence*

The use of the term “contextual” might imply or implicitly suggest, without direct empirical evidence, a form of omni-existence. But omni-existence is a metaphysical concept because it lacks any direct operational test. Those arguments involve counterfactuals [14].

A generalized Jaynes’ principle is called “plausible reasoning”: one should not introduce unnecessary epistemic bias, superficial information, and individual ontologic projections into empirical evidence but rather stick to the “knowable” facts. In Jaynes’ words [69, Section 10.11, p. 331], “the onus is always on the user ... that the full extent of his ignorance is also properly represented”.

Therefore, it might be more appropriate to talk about “quantum indeterminacy” as Pitowsky did [65,66], and to allow partial functions and value indefiniteness. Partial functions have been first conceptualized [70] in theoretical computer science to cope with and formalize computability; in particular, with the recursive unsolvability of the halting problem. They are essential in the theory of recursive functions and indicate lack of capacities that go beyond certain limits of consistent formal expressibility. It thus might be more appropriate to use the terms “partial functions” and “value indefinite” instead of “contextuality” [67,71,68,15].

We conjecture that because of Pitowsky’s principle of indeterminism [65,66] and newer theorems allowing partial functions as value assignments [15], the “message” of the quantum is straightforward: quantum systems are defined in their frame of preparation, and undefined in directions other than perpendicular or collinear.

5.2. *Is contextuality haunted?*

It should be kept in mind that there exist only indirect empirical tests of contextuality invoking counterfactuals. Indeed, any experimentally verifiable contextuality remains indirect (or “haunted”) and not direct, as quantum mechanics predicts the absence of direct verifications [72–75]: if say, two contexts $\{a, b, c\}$ and $\{a, d, e\}$ intertwining at observable a are considered, quantum mechanics is not ambiguous about the outcome corresponding to a , regardless of the context measured. This can be directly experimentally tested on a single quantized particle, or on entangled particle pairs. A remaining “haunted” context-dependence might manifest itself in a hidden and uncontrollable outcome dependence of the remaining complementary observable pairs $\{b, c\}$ and $\{d, e\}$. In four or higher dimensions this applies also to all quantum observables common to different contexts. For instance, for a configuration $\{a, b, c, d\}$ and $\{a, b, e, f\}$, quantum mechanics predicts that the observables a and b are noncontextual, whereas $\{c, d\}$ and $\{e, f\}$ might show (hidden and haunted) outcome dependence.

5.3. *Historic aspects regarding the importance of embeddability for Specker*

Let me add some afterthoughts on Kochen and Specker’s demarcation criterion [1, Theorem 0] for structure-preserving (non)embeddability mentioned earlier as a decisive benchmark or measure for strong contextuality or value indefiniteness. I consider it not entirely unreasonable to speculate that Specker meant the lack of embeddability by announcing [14]: “An elementary geometrical argument shows that such an assignment is impossible and that therefore (aside from the exceptions noted above) no consistent prediction concerning a quantum mechanical system is possible.” Indeed, the ‘Comment’ section of the respective article in Specker’s ‘Selecta’ [76, p. 385] explicitly notes (the references are updated to match the current ones used here): “The impossibility to embed the lattice of subspaces of \mathbb{R}^3 into a Boolean algebra, mentioned at the end of [14], is proved in [1] (theorem 1 and subsequent remarks).”

6. Summary

Numerous notions of contextuality exist in the literature on quantum foundations. The concept of “contextuality” is often used in ambiguous or contradictory ways. This paper has taken a logic-based approach to clarify, identify, and categorize these different notions. In particular, we propose to categorize different notions of contextuality into two major groups: probabilistic and strong notions of contextuality. We suggest using Kochen and Specker’s demarcation Theorem 0 [1] as a criterion to differentiate between those groups.

The following review summarizes and concentrates the issues raised.

- (i) The supposition of a well-defined physical operationalization of the properties associated with quantum observables, and, in particular, omni-existence lies at the basis of current so-called empirical tests of contextuality. This does not take into account the entanglement between the object under observation and the measurement apparatus. However, such a conception of measurement entails that the constituents of the entangled object-apparatus state are in no definite individual state.

- (ii) As long as the explicit functional context-dependence of quantum observables common to different contexts is directly tested it is absent in quantized systems. Therefore, it might be “haunted,” as such dependence may only occur indirectly, and without direct experimental testability.
- (iii) It might be prudent to differentiate between the logico-algebraic structure formed by the observables via complementarity and the probability distributions such logics can or cannot support.
- (iv) Kochen and Specker gave a “demarcation criterion” for nonembeddability in terms of (in)separability: if the set of two-valued states on the logic can discriminate between every pair of atomic observables (aka elementary propositions in the sense of Birkhoff and von Neumann) then it can support classical models (and also quantum ones if there exist faithful orthogonal representations). If no classical value assignment aka two-valued state can separate between two observables, then embeddability in some presumably extended Boolean algebra breaks down. Such situations can be termed strong contextuality.
- (v) Current empirical corroborations of contextuality associated with Boole-Bell-type inequalities, as long as they are based on hull computations of classical value assignments on suitable ensembles of quantum observables with separating sets of two-valued states encoding these value assignments, are merely about probabilistic contextuality. The same is true for separable ensembles of quantum observables with functional relations on endpoints.
- (vi) Strong contextuality implies probabilistic contextuality because the former always indicates some “essential” scarcity of two-valued states associated with classical truth assignments. Because, by convex summation, two-valued states form the basis for classical probability distributions, any “essential” lack thereof indicates limits to classical physical phenomenology that provide distinctions from quantized systems. Essential here stands for nonseparating, nonunital, or in its strongest form not existing.

These matters are pertinent not only to foundational questions but also to the computational capacities of quantized systems.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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All misconceptions and errors are mine.

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